

**PATIENT**

DISEASE Colon adenocarcinoma (CRC)  
NAME Galloway, David  
DATE OF BIRTH 08 July 1970  
SEX Male  
MEDICAL RECORD # 237351

**PHYSICIAN**

ORDERING PHYSICIAN Blitman, Maury  
MEDICAL FACILITY Cancer Care Northwest - Spokane Valley  
ADDITIONAL RECIPIENT None  
MEDICAL FACILITY ID 312271  
PATHOLOGIST Provided, Not

**SPECIMEN**

SPECIMEN SITE Colon  
SPECIMEN ID SH-19-14386-A5  
SPECIMEN TYPE Block  
DATE OF COLLECTION 19 July 2019  
SPECIMEN RECEIVED 21 October 2020

**Companion Diagnostic (CDx) Associated Findings**

GENOMIC FINDINGS DETECTED	FDA-APPROVED THERAPEUTIC OPTIONS
<b>KRAS</b> wildtype (codons 12 & 13)	Erbitux® (Cetuximab)
<b>KRAS/NRAS</b> wildtype (codons 12, 13, 59, 61, 117, & 146 in exons 2, 3, & 4)	Vectibix® (Panitumumab)

For Microsatellite instability (MSI) results, confirmatory testing using a validated orthogonal method should be performed.

**OTHER ALTERATIONS & BIOMARKERS IDENTIFIED**

Results reported in this section are not prescriptive or conclusive for labeled use of any specific therapeutic product. See professional services section for additional information.

<b>Microsatellite status</b> MS-Stable <sup>§</sup>	<b>FGF19</b> amplification <sup>§</sup>
<b>Tumor Mutational Burden</b> 3 Muts/Mb <sup>§</sup>	<b>FGF4</b> amplification <sup>§</sup>
<b>APC</b> S1344*	<b>TP53</b> splice site 376-1G>A
<b>CCND1</b> amplification <sup>§</sup>	

*§ Refer to appendix for limitation statements related to detection of any copy number alterations, gene rearrangements, BRCA1/2 alterations, LOH, MSI, or TMB results in this section.*

*Please refer to appendix for Explanation of Clinical Significance Classification and for variants of unknown significance (VUS).*

**ABOUT THE TEST** FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

Electronically signed by Tyler Janovitz, M.D., PhD | 28 October 2020  
 Sample Preparation: 7010 Kit Creek Road, Morrisville, NC 27560 • CLIA: 34D2044309  
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 Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 • CLIA: 22D2027531

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Oct. 29. 2020 8:34AM Cancer Care Northwest No. 4652 P. 1

FoundationOne®CDx (F1CDx) is a qualitative next-generation sequencing based in vitro diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed, paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (F1CDx HRD defined as BRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rucaparib (rucaparib) maintenance therapy in accordance with the Rubraca® product label.

The F1CDx assay is performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

**TABLE 1: COMPANION DIAGNOSTIC INDICATIONS**

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	EGFR exon 19 deletion and EGFR exon 21 L858R alterations	Gilotrif® (Afatinib), Iressa® (Gefitinib), Tagrisso® (Osimertinib), or Tarcova® (Erlotinib)
	EGFR exon 20 T790M alterations	Tagyiso® (Cobimetinib)
	ALK rearrangements	Alecensa® (Alectinib), Xalkor® (Crizotinib), or Zykadia® (Ceritinib)
	BRAF V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
Melanoma	MET single nucleotide variants (SNVs) and indels that lead to MET exon 14 skipping	Tabrecta™ (Capmatinib)
	BRAF V600E	Tafinlar® (Dabrafenib) or Zelboraf® (Vemurafenib)
Breast cancer	BRAF V600E and V600K	Mekinist® (Trametinib) or Cotelliv® (Cobimetinib) in combination with Zelboraf® (Vemurafenib)
	ERBB2 (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
Colorectal cancer	PIK3CA C420R, E542K, E545A, E545D (E685G+T only), E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Plerixa® (Alpelisib)
	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbilux® (Cetuximab)
Ovarian cancer	KRAS wild-type (absence of mutations in exons 2, 3, and 4) and NRAS wild type (absence of mutations in exons 2, 3, and 4)	Vectinix® (Panitumumab)
	BRCA1/2 alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)
Cholangiocarcinoma	FGFR2 fusions and select rearrangements	Pemazyre™ (Pemigatinib)
Prostate cancer	Homologous Recombination Repair (HRR) gene (BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCD1, PALB2, RAD51B, RAD51C, RAD51D and RAD51L) alterations	Lynparza® (Olaparib)
Solid Tumors	TMB ≥ 10 mutations per megabase	Keytruda® (Pembrolizumab)

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**ABOUT THE TEST** FoundationOne®CDx is the first and only FDA-Approved comprehensive companion diagnostic for all solid tumors.

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**Biomarker Findings**

Microsatellite status - MS-Stable  
Tumor Mutational Burden - 3 Muts/Mb

**Genomic Findings**

For a complete list of the genes assayed, please refer to the Appendix.

KRAS wildtype  
NRAS wildtype  
CCND1 amplification  
APC S1344\*  
FGF19 amplification  
FGF4 amplification  
TP53 splice site 376-1G>A

3 Disease relevant genes with no reportable alterations: **BRAF, KRAS, NRAS**

5 Therapies with Clinical Benefit      10 Clinical Trials  
0 Therapies with Lack of Response

**BIOMARKER FINDINGS**

**Microsatellite status - MS-Stable**  
**Tumor Mutational Burden - 3 Muts/Mb**

**GENOMIC FINDINGS**

**KRAS - wildtype**  
0 Trials

**NRAS - wildtype**  
0 Trials

**CCND1 - amplification**  
10 Trials *see p. 10*

**ACTIONABILITY**

No therapies or clinical trials: see Biomarker Findings section.

No therapies or clinical trials: see Biomarker Findings section.

THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)		THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)
Cetuximab	2A	none
Panitumumab	2A	
Cetuximab	2A	none
Panitumumab	2A	
none		Abemaciclib Palbociclib Ribociclib

NCCN category

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**GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS**

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

APC - S1344\* ..... p. 5    FGF4 - amplification ..... p. 6  
FGF19 - amplification ..... p. 5    TP53 - splice site 376-1G>A ..... p. 7

**NOTE:** Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.

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No. 4652 P. 5

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**BIOMARKER FINDINGS**

ORDERED TEST # ORD-0927864-01

**BIOMARKER**

**Microsatellite status**

RESULT  
MS-Stable

**POTENTIAL TREATMENT STRATEGIES**

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%,  $p=0.001$ )<sup>5</sup>. For patients with chemotherapy-refractory metastatic colorectal cancer, 92% of which were MSS or MSI-Intermediate, a Phase 3 trial reported

no OS advantage from the combination of the PD-L1 inhibitor atezolizumab plus cobimetinib relative to regorafenib (8.9 vs. 8.5 months, HR=1.00); atezolizumab monotherapy similarly did not prolong OS (7.1 vs. 8.5 months, HR=1.19)<sup>6</sup>. MSI has not been found to be a predictive biomarker for combination chemotherapy regimens, including FOLFOX<sup>7-8</sup> and FOLFIRI<sup>9-10</sup>. Patients with MSS CRC are more likely to benefit from postsurgical fluorouracil (FU)-based adjuvant therapy<sup>11-12</sup> but less likely to benefit from irinotecan chemotherapy<sup>13</sup>.

**FREQUENCY & PROGNOSIS**

MSS colorectal cancers (CRCs) make up 70-85% of CRC cases<sup>3,14-18</sup>. MSS colorectal cancers are molecularly heterogeneous, driven by diverse mechanisms such as extensive DNA methylation, oncogenic mutations in KRAS or BRAF, or chromosomal instability<sup>16</sup>. Multiple studies have shown that MSS CRCs have a worse prognosis

than MSI-high tumors<sup>14,19-25</sup>.

**FINDING SUMMARY**

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>16</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>16,26-27</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>15,28-29</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>15-16,27,29</sup>.

**BIOMARKER**

**Tumor Mutational Burden**

RESULT  
3 Muts/Mb

**POTENTIAL TREATMENT STRATEGIES**

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>30-32</sup> and anti-PD-1 therapies<sup>30-34</sup>. In multiple pan-tumor studies, higher TMB has been reported to be associated with increased ORR and OS from treatment with immune checkpoint inhibitors<sup>30-34</sup>. Higher TMB was found to be significantly associated with improved OS upon immune checkpoint inhibitor treatment of patients with 9 types of advanced tumors<sup>30</sup>. Analyses across several solid tumor types reported that patients with higher TMB (defined as  $\geq 16-20$  Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy, compared with patients with higher TMB treated with chemotherapy<sup>35</sup> or those with lower TMB treated with PD-1 or PD-L1-targeting agents<sup>31</sup>. However, the KEYNOTE 158 trial of pembrolizumab monotherapy in patients with solid tumors found significant improvement in ORR in patients with TMB  $\geq 10$  Muts/Mb (based

on this assay or others) compared to those with TMB  $< 10$  Muts/Mb, in a large cohort that included multiple tumor types; similar findings were observed in the KEYNOTE 028 and 012 trials<sup>33-34</sup>. Together, these studies suggest that patients with TMB  $\geq 10$  Muts/Mb may derive clinical benefit from PD-1 or PD-L1 inhibitors. In CRC specifically, a retrospective analysis of immune checkpoint inhibitor efficacy reported significantly improved OS for patients with tumors harboring TMB  $\geq 9.8$  Muts/Mb compared with those with tumors with TMB  $< 9.8$  Muts/Mb ( $\sim$  equivalency  $< 12$  Muts/Mb as measured by this assay)<sup>30</sup>. Another retrospective study reported that a TMB  $\geq 12$  Muts/Mb cutoff identifies  $> 99\%$  of MSI-High CRC cases but only 3% of MSS cases, indicating the utility of this cutoff for identification of patients with CRC likely to benefit from treatment with immune checkpoint inhibitors<sup>36</sup>.

**FREQUENCY & PROGNOSIS**

Elevated TMB has been reported in 8-25% of colorectal cancer (CRC) samples<sup>17,37-39</sup>. Multiple studies have reported that the majority (up to 90%) of hypermutant CRC cases exhibit high levels of microsatellite instability (MSI-H) and mismatch repair deficiency (MMR-D)<sup>17,39</sup>. Increased TMB is significantly associated with MSI-H and MMR-D, with studies reporting that 100% of MSI-H CRCs harbor elevated TMB and, conversely, that 100% of tumors with low TMB harbor intact MMR<sup>37-39</sup>. A subset of CRCs that

harbor increased TMB but not MSI-H are driven by mutations in POLE, which lead to an "ultramutated" phenotype with especially high TMB<sup>17,39</sup>. Tumors with increased TMB harbor BRAF V600E mutations more frequently than those with low TMB<sup>17,39</sup>, whereas TMB-low tumors more frequently harbor mutations in TP53 and APC<sup>17</sup>. Although direct associations between blood or tissue TMB and prognosis of patients with CRC have not been reported, multiple studies have shown that MSI-H CRCs have a better prognosis than MSI-low (MSI-L) or microsatellite stable (MSS) tumors<sup>14,19-25</sup>.

**FINDING SUMMARY**

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>40-41</sup> and cigarette smoke in lung cancer<sup>42-43</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>44-45</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>17,46-49</sup>, and microsatellite instability (MSI)<sup>17,46,49</sup>. This sample harbors a TMB below levels that would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents<sup>30,34,36</sup>.

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ORDERED TEST # ORD-0927864-01

GENOMIC FINDINGS

GENE  
**KRAS**

ALTERATION  
wildtype

**POTENTIAL TREATMENT STRATEGIES**

Lack of mutations in KRAS or NRAS is associated with clinical benefit of treatment with EGFR-

targeting antibodies cetuximab<sup>50-53</sup> or panitumumab<sup>54-56</sup> in patients with CRC. Therefore, these agents are indicated for treatment of patients with CRC lacking such mutations (NCCN Guidelines v2.2019).

**FREQUENCY & PROGNOSIS**

Approximately 50-65% of colorectal cancers (CRCs) have been reported to lack KRAS mutations<sup>57-65</sup>. Numerous studies have reported that KRAS wild-type status is associated with

decreased metastasis, better clinicopathological features, and longer survival of patients with CRC<sup>59-62,66-67</sup>.

**FINDING SUMMARY**

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation<sup>68-69</sup>. No alterations in KRAS were identified in this case.

GENE  
**NRAS**

ALTERATION  
wildtype

**POTENTIAL TREATMENT STRATEGIES**

Lack of mutations in KRAS or NRAS is associated with clinical benefit of treatment with EGFR-

targeting antibodies cetuximab<sup>50-53</sup> or panitumumab<sup>54-56</sup> in patients with CRC. Therefore, these agents are indicated for treatment of patients with CRC lacking such mutations (NCCN Guidelines v2.2019).

**FREQUENCY & PROGNOSIS**

The majority of colorectal cancers (CRCs) (91-98%) have been reported to lack NRAS mutations<sup>7,65,70-75</sup>. NRAS wild-type status has been reported to be associated with decreased

frequency of metastasis<sup>65</sup> and longer survival<sup>75-76</sup> of patients with CRC.

**FINDING SUMMARY**

NRAS encodes a member of the RAS family of small GTPases that mediate transduction of growth signals. Activation of RAS signaling causes cell growth, differentiation, and survival by activating the RAF-MAPK-ERK, PI3K, and other pathways<sup>68</sup>. No alterations in NRAS were identified in this case.

GENE  
**CCND1**

ALTERATION  
amplification

**POTENTIAL TREATMENT STRATEGIES**

Amplification or overexpression of CCND1 may predict sensitivity to CDK4/6 inhibitors, such as abemaciclib, palbociclib, and ribociclib<sup>77-81</sup>, although as monotherapy these agents have shown limited activity in tumor types other than breast cancer<sup>80,82</sup>. In refractory advanced solid tumors with CCND1 (n=39) or CCND3 (n=1) amplification and retinoblastoma protein expression, palbociclib resulted in SD for 39% (14/

36) of patients and a median PFS of 1.8 months in the NCI-MATCH trial<sup>83</sup>; 4 patients (13%, 4/36 overall) with squamous cell carcinomas (lung, esophageal, or laryngeal) or adenoid cystic carcinoma experienced prolonged SD in this study<sup>83</sup>. Among 9 patients with CCND1-amplified advanced solid tumors, 1 patient with bladder cancer responded to ribociclib in a Phase 2 trial<sup>84</sup>.

**FREQUENCY & PROGNOSIS**

While CCND1 amplification was detected in <1% of cases in the Colorectal Adenocarcinoma TCGA dataset<sup>17</sup>, another study reported CCND1 copy number gain in 36% (22/60) of cases<sup>85</sup>. CCND1 copy number gain was more prevalent in stage 4 tumors (67%; 10/15) than in stage 1-3 tumors (27%; 12/45)<sup>85</sup>. High expression of cyclin D1 has been reported in 55-68% of CRC cases and has been

found to occur more frequently in tumors exhibiting microsatellite instability (MSI)<sup>85-91</sup>. The role of cyclin D1 expression in prognosis for patients with CRC is unclear, with several studies correlating high cyclin D1 expression with improved survival and better outcome and other studies associating cyclin D1 with lymph node metastasis, tumor invasiveness, and poor overall survival<sup>85-87,92-94</sup>.

**FINDING SUMMARY**

CCND1 encodes cyclin D1, a binding partner of the kinases CDK4 and CDK6, that regulates RB activity and cell cycle progression. Amplification of CCND1 has been positively correlated with cyclin D1 overexpression<sup>95</sup> and may lead to excessive proliferation<sup>96-97</sup>.

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GENOMIC FINDINGS

ORDERED TEST # ORD-0927864-01

GENE

**APC**

ALTERATION  
S1344\*

TRANSCRIPT ID  
NM\_000039

CODING SEQUENCE EFFECT  
4031C>A

VARIANT ALLELE FREQUENCY (% VAF)  
62.47%

synthetic lethality when TRAIL receptors are upregulated and the TRAIL death receptor program is activated<sup>98</sup>. In addition, the COX-2 inhibitor celecoxib was shown to reduce WNT signaling in cancer cell lines<sup>99-100</sup>. A preclinical study has found that a small-molecule tankyrase inhibitor shows some activity in APC-mutant CRC models<sup>101</sup>.

**FREQUENCY & PROGNOSIS**

APC alterations have been found in 77% of tumors in the Colorectal Adenocarcinoma TCGA dataset<sup>17</sup>. Inactivation of APC leads to activation of the Wnt/beta-catenin pathway, which is thought to play a role in the adenoma-carcinoma transition in some cancers, including colorectal cancer (CRC)<sup>102</sup>. The prognostic significance of APC mutations in sporadic CRC remains unclear<sup>103</sup>.

**FINDING SUMMARY**

APC (adenomatous polyposis coli) encodes a tumor suppressor with critical roles in regulating cell division and adhesion. APC interacts with beta-catenin and controls signaling in the WNT pathway, which regulates embryonic development and cell differentiation<sup>104</sup>. APC alterations that disrupt the beta-catenin binding domain (amino acids 1020-2035), such as observed here, are likely to impair APC binding to beta-catenin and may upregulate Wnt signaling<sup>105-109</sup> and are therefore predicted to be inactivating. Germline mutations in APC are found in more than 90% of patients with familial adenomatous polyposis (FAP)<sup>110-112</sup>. The prevalence for FAP in the general population is estimated to be 1:8,300 from birth<sup>113</sup>, and in the appropriate clinical context germline testing of APC is recommended.

**POTENTIAL TREATMENT STRATEGIES**

There are no approved drugs targeted to APC defects or WNT upregulation in solid tumors. Preclinical studies have reported that APC inactivation or beta-catenin activation confer

GENE

**FGF19**

ALTERATION  
amplification

overexpression who initially responded but then progressed on fsgatinib<sup>115</sup>. Preliminary results from the dose escalation part of a Phase 1/2 study evaluating another FGFR4 inhibitor, FGF401, showed an ORR of 7.6% (4/53), SD rate of 52.8% (28/53), and a median time to progression of 4.1 months; responses were observed in both FGF19-positive and FGF19-negative cases<sup>118</sup>. In a retrospective analysis, a trend toward response to sorafenib treatment and FGF19 copy number gain was observed in patients with HCC, and 2 patients harboring FGF19 copy number gain experienced a CR<sup>119</sup>. A case study reported activity of pan-FGFR inhibitors in FGF-amplified cancers; following treatment with a selective pan-FGFR inhibitor, a patient with head and neck squamous cell carcinoma (HNSCC) and amplification of 11q13 (FGF3, FGF4, FGF19) and 12p13 (FGF6 and FGF23) experienced a radiologic CR<sup>120</sup>. Other therapies targeting FGF19 or FGFR4 signaling are in development<sup>121</sup>.

**FREQUENCY & PROGNOSIS**

In the TCGA datasets, FGF19 amplification has been reported with highest incidence in esophageal carcinoma (34%), head and neck

squamous cell carcinoma (23%), breast carcinoma (14%), lung squamous cell carcinoma (13%), and bladder urothelial carcinoma (10%) (cBioPortal, 2020). In HCC, FGF19 is an important driver gene<sup>116,122-123</sup>, and FGF19 protein expression correlates with tumor progression and poorer prognosis<sup>124</sup>. Exogenous FGF19 has been shown to promote prostate cancer tumorigenesis in a preclinical study<sup>125</sup>, and the presence of FGF19-positive tissues is an independent factor for worse prognosis following radical prostatectomy<sup>126</sup>.

**FINDING SUMMARY**

FGF19 encodes fibroblast growth factor 19, an FGFR4 ligand involved with bile acid synthesis and hepatocyte proliferation in the liver<sup>116,127</sup>. FGF19 lies in a region of chromosome 11q13 frequently amplified in a diverse range of malignancies that also contains FGF3, FGF4, and CCND1<sup>128</sup>. Correlation between FGF19 amplification and protein expression has been demonstrated in hepatocellular carcinoma (HCC)<sup>129</sup> but was not observed in several other tumor types<sup>123</sup>.

**POTENTIAL TREATMENT STRATEGIES**

There are no targeted therapies that directly address genomic alterations in FGF19. However, FGF19 amplification predicts sensitivity to FGFR4 inhibitors in liver cancer cell lines<sup>114-115</sup>; selective FGFR4 inhibition reduced tumor burden in an FGF19-amplified HCC xenograft model<sup>116</sup>. A Phase 1 study of the FGFR4 inhibitor fsgatinib (BLU-554) for patients with previously treated hepatocellular carcinoma (HCC), most of whom had received prior sorafenib treatment, reported a 16.7% ORR (11/66, 1 CR, ongoing for >1.5 years) and a median PFS of 3.3 months for FGF19-IHC-positive patients; poorer outcomes (0% ORR, PFS of 2.3 months) were observed for patients with negative or unknown FGF19 IHC scores<sup>117</sup>. Acquisition of FGFR4 mutations may represent a mechanism of resistance for patients with FGF19

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Post-Sequencing Analysis: 150 Second St, 1st Floor, Cambridge, MA 02141 • CLIA: 22D2027531

ORDERED TEST # ORD-0927864-01

GENOMIC FINDINGS

**GENE**  
**FGF4**

ALTERATION  
amplification

cell carcinoma (HNSCC) and amplification of 11q13 (FGF3, FGF4, FGF19) and 12p13 (FGF6 and FGF23) experienced a radiologic CR<sup>132</sup>.

FGF4 amplification is rare in hematopoietic and lymphoid malignancies, reported in less than 1% of samples analyzed (cBioPortal, 2020).

**POTENTIAL TREATMENT STRATEGIES**

FGF4 amplification and overexpression was associated with cell sensitivity to the multikinase inhibitor sorafenib in preclinical studies<sup>130-131</sup> and amplification of FGF4/FGF3 in HCC significantly correlated with patient response to sorafenib (p=0.006)<sup>130</sup>. Limited data suggest that pan-FGFR inhibitors show activity in FGF amplified cancers; following treatment with a selective pan-FGFR inhibitor, a patient with head and neck squamous

**FREQUENCY & PROGNOSIS**

FGF4 lies in a region of chromosome 11q13 that also contains FGF19, FGF3, and CCND1, the latter gene encoding cyclin D1, a key regulator of cell cycle progression. This chromosomal region is frequently amplified in a diverse range of malignancies<sup>86</sup> including esophageal carcinoma (35%), head and neck squamous cell carcinoma (HNSCC; 23%), breast invasive carcinoma (14%), lung squamous cell carcinoma (13%), bladder urothelial carcinoma (10%), ovarian serous cystadenocarcinoma (5%), stomach adenocarcinoma (7%), skin melanoma (4%), and hepatocellular carcinoma (HCC; 5%), however

**FINDING SUMMARY**

FGF4 encodes fibroblast growth factor 4, which plays a central role in development of the teeth<sup>133</sup> and acts synergistically with other FGFs and SHH (sonic hedgehog) to regulate limb outgrowth in vertebrate development<sup>134</sup>. FGF4 lies in a region of chromosome 11q13 that also contains FGF19, FGF3, and CCND1, the latter gene encoding cyclin D1, a key regulator of cell cycle progression. Amplification of FGF4, along with that of FGF3, FGF19, and CCND1, has been reported in a variety of cancers<sup>86,130,135-138</sup> and may confer sensitivity to the multi-kinase inhibitor sorafenib<sup>130</sup>.

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GENOMIC FINDINGS

ORDERED TEST # ORD-0927864-01

GENE  
**TP53**

ALTERATION  
splice site 376-1G>A  
TRANSCRIPT ID  
NM\_000546  
CODING SEQUENCE EFFECT  
376-1G>A  
VARIANT ALLELE FREQUENCY (% VAF)  
47.85%

POTENTIAL TREATMENT STRATEGIES

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>139-142</sup>, or p53 gene therapy and immunotherapeutics such as SGT-53<sup>143-147</sup> and ALT-801<sup>148</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) in patients with TP53 mutations versus 12.1% (4/33) in patients who were TP53 wild-type<sup>149</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR in patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>150</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR in patients with platinum-refractory TP53-mutated ovarian cancer<sup>151</sup>. The combination of adavosertib with paclitaxel and carboplatin in patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>152</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/

or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel<sup>153</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations<sup>154</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel in patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>147</sup>. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model<sup>155</sup>. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies<sup>156-157</sup>; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies<sup>158-159</sup>. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 mutations have been reported in up to 60% of colorectal cancer cases<sup>17,160-165</sup>. A study reported p53 expression in 49% of analyzed colorectal cancer cases<sup>90</sup>. TP53 mutation has not been consistently demonstrated to be a significant independent prognostic marker in the context of CRC<sup>166</sup>. Variants seen in this gene have been reported to occur in clonal hematopoiesis of indeterminate potential (CHIP), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>167,172</sup>. CHIP is associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary

hematologic malignancy<sup>167-169</sup>. Clinical management of patients with CHIP may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>173</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CHIP<sup>171,174-175</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CHIP.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>176</sup>. Alterations that have been functionally characterized as inactivating and/or result in the disruption or partial or complete loss of the region encoding the TP53 DNA-binding domain (DBD, aa 100-292) or the tetramerization domain (aa 325-356), such as observed here, are thought to dysregulate the transactivation of p53-dependent genes and are predicted to promote tumorigenesis<sup>177-181</sup>. One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters with no conflicts) associated with Li-Fraumeni syndrome (ClinVar, Mar 2020)<sup>182</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>183-185</sup>, including sarcomas<sup>186-187</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>188</sup> to 1:20,000<sup>187</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>189</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

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THERAPIES WITH CLINICAL BENEFIT IN PATIENT'S TUMOR TYPE

ORDERED TEST # ORD-0927864-01

**Cetuximab**

Assay findings association

**KRAS**  
wildtype

**NRAS**  
wildtype

**AREAS OF THERAPEUTIC USE**

Cetuximab is a monoclonal antibody that targets EGFR. It is FDA approved for the treatment of head and neck squamous cell carcinoma (HNSCC) and KRAS-wild-type, EGFR-expressing metastatic colorectal cancer (CRC). Cetuximab is also approved for BRAF V600E-mutated CRC in combination with the BRAF inhibitor encorafenib. Please see the drug label for full prescribing information.

**GENE ASSOCIATION**

Therapies targeting EGFR, including cetuximab, have been shown to have significant clinical activity in patients with CRC<sup>50-53,190-191</sup>; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of cetuximab in metastatic CRC (NCCN Guidelines v2.2019).

**SUPPORTING DATA**

Cetuximab has been shown to improve OS, PFS, and response rate in patients with KRAS wild-type CRC, both as first-line combination therapy with FOLFIRI or FOLFOX<sub>4</sub><sup>50-51,191</sup> and as monotherapy or combination therapy with irinotecan for chemotherapy-refractory patients<sup>52-53,190</sup>. A study of first line cetuximab in patients with KRAS/NRAS/BRAF mutation-negative metastatic CRC resulted in limited efficacy, with 10.5% (2/19) of participants experiencing PRs and 57.9% (11/19) experiencing SDs<sup>192</sup>. The Phase 2 AVETUX trial of cetuximab combined with avelumab and mFOLFOX6 for patients with RAS/BRAF wild-type metastatic CRC resulted in an ORR of 79.5% (6 CR and 25 PRs, n=39) and a DCR of 92.3%<sup>193</sup>.

**Panitumumab**

Assay findings association

**KRAS**  
wildtype

**NRAS**  
wildtype

**AREAS OF THERAPEUTIC USE**

Panitumumab is a monoclonal antibody that targets EGFR. It is FDA approved to treat KRAS wild-type and NRAS wild-type metastatic colorectal cancer (CRC) combined with chemotherapy or as monotherapy for patients who have progressed on prior chemotherapy. Please see the drug label for full prescribing information.

**GENE ASSOCIATION**

Therapies targeting EGFR, including panitumumab, have been shown to have significant clinical activity in patients with CRC<sup>54,194-195</sup>; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of panitumumab in metastatic CRC (NCCN Guidelines v2.2019).

**SUPPORTING DATA**

Panitumumab has been shown to improve OS, PFS, and ORR in patients with KRAS wild-type CRC, both as first-line combination therapy with FOLFOX<sub>4</sub><sup>54</sup> and as monotherapy for chemotherapy-refractory patients<sup>194-195</sup>. An open-label, randomized Phase 2 trial reported that in patients with unresectable RAS-wild-type colorectal adenocarcinoma treated with first-line panitumumab plus FOLFOX<sub>4</sub> maintenance with a combination of panitumumab plus fluorouracil and leucovorin was superior to panitumumab monotherapy (10-month PFS 59% vs. 49%)<sup>196</sup>.

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ORDERED TEST # ORD-0927864-01

**THERAPIES WITH CLINICAL BENEFIT    IN OTHER TUMOR TYPE**

**Abemaciclib**

*Assay findings association*

**CCND1**  
amplification

**AREAS OF THERAPEUTIC USE**

Abemaciclib inhibits the cyclin-dependent kinases 4 and 6 (CDK4/6) and is FDA approved to treat hormone receptor-positive (HR+), HER2-negative (HER2-) advanced or metastatic breast cancer in combination with an aromatase inhibitor as initial endocrine-based therapy for postmenopausal women, in combination with fulvestrant for women who have progressed on endocrine therapy, or as monotherapy for adults who have progressed on endocrine therapy and chemotherapy in the metastatic setting. Please see the drug label for full prescribing information.

**GENE ASSOCIATION**

On the basis of clinical data in breast cancer and mantle cell lymphoma<sup>80,197</sup>, CCND1 amplification or activation may be associated with response to abemaciclib. In a Phase 1 study, 4/10 patients with CCND1-amplified breast cancer responded to single-agent abemaciclib, with all of the responders having HR+ tumors<sup>80</sup>.

**SUPPORTING DATA**

Abemaciclib has been investigated primarily in the context of breast cancer<sup>80,82,198</sup>. In a Phase 1 study evaluating abemaciclib as monotherapy, 13% (2/15) of patients with colorectal carcinoma experienced stable disease<sup>80</sup>.

**Palbociclib**

*Assay findings association*

**CCND1**  
amplification

**AREAS OF THERAPEUTIC USE**

Palbociclib inhibits the cyclin-dependent kinases 4 and 6 (CDK4/6) and is FDA approved to treat hormone receptor (HR)-positive/HER2-negative advanced or metastatic breast cancer in combination with an aromatase inhibitor as first-line therapy for postmenopausal women or in combination with fulvestrant following progression on endocrine therapy. Please see the drug label for full prescribing information.

**GENE ASSOCIATION**

Clinical studies in liposarcoma and mantle cell lymphoma as well as responses in patients with breast cancer or melanoma indicate that activation of cyclin D-CDK4/6 may predict sensitivity to therapies such as palbociclib<sup>81,84,199-200</sup>.

**SUPPORTING DATA**

Palbociclib has been studied primarily for the treatment of ER+ breast cancer<sup>76,201-202</sup>. Single-agent palbociclib has shown limited activity against solid tumors, with a Phase 1 study reporting no partial responses (PR) and a 16% (6/37) stable disease (SD) rate (>9 months)<sup>77</sup>. A Phase 2 trial of palbociclib in patients with KRAS-mutant colorectal cancer (CRC) also reported no responses, although SD was observed for 33% (5/15) of patients<sup>203</sup>. Palbociclib combined with the MEK inhibitor trametinib achieved ongoing partial responses for 2/28 (7%) of patients with solid tumors, including one patient with CRC and a NRAS Q61K mutation<sup>204</sup>. For various tumor types, preclinical studies suggest that palbociclib may be useful in combination with other therapies targeting oncogenic drivers such as MEK, BRAF, PI3K, or IGF1R<sup>205-209</sup>.

**Ribociclib**

*Assay findings association*

**CCND1**  
amplification

**AREAS OF THERAPEUTIC USE**

Ribociclib inhibits the cyclin-dependent kinases 4 and 6 (CDK4/6) and is FDA approved in combination with an aromatase inhibitor as first-line therapy to treat women with hormone receptor-positive (HR+), human epidermal growth factor receptor 2-negative (HER2-) advanced or metastatic breast cancer. Ribociclib is also approved in combination with fulvestrant to treat postmenopausal women with HR+, HER2- advanced or metastatic breast cancer, either as first-line therapy or following disease progression on endocrine therapy. Please see the drug label for full prescribing information.

**GENE ASSOCIATION**

On the basis of clinical responses for 3 patients with bladder cancer, BRAF/NRAS-wild-type melanoma, or ER-positive breast cancer<sup>79,84</sup>, CCND1 amplification or

expression may predict sensitivity to CDK4/6 inhibitors such as ribociclib. In a prospective trial, 1 out of 12 patients with CCND1-amplified solid tumors responded to ribociclib<sup>84</sup>.

**SUPPORTING DATA**

Clinical data on the efficacy of ribociclib for the treatment of colorectal cancer are limited (PubMed, Aug 2020). The Phase 1 Signature study of ribociclib for the treatment of patients with CDK4/6 pathway activated tumors reported clinical benefit for 18.4% (19/103) of cases, 58% (11/19) of whom had p16INK4a mutation or loss<sup>84</sup>. Phase 1 studies of ribociclib for the treatment of patients with Rb+ advanced solid tumors reported 2.4% partial responses and 23.5-34.4% stable diseases (SD)<sup>79,210</sup>; the 3 responders had alterations in the CDK4/6 pathway<sup>79</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.

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**CLINICAL TRIALS**

ORDERED TEST # ORD-0927864-01

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationalmedicine.com/genomic-testing#support-services). Or, visit <https://www.foundationalmedicine.com/genomic-testing#support-services>.

**GENE**

**CCND1**

**RATIONALE**

CCND1 amplification or overexpression may activate CDK4/6 and may predict sensitivity to

CDK4/6 inhibitors.

**ALTERATION**

**amplification**

**NCT03994796** **PHASE 2**  
Genetic Testing in Guiding Treatment for Patients With Brain Metastases  
**TARGETS**  
ALK, ROS1, TRKA, TRKB, TRKC, CDK4, CDK6, PI3K, mTOR  
**LOCATIONS:** Idaho, Washington, Montana, Oregon

**NCT03297606** **PHASE 2**  
Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)  
**TARGETS**  
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, CSF1R, FLT3, RET, mTOR, ERBB2, ERBB3, BRAF, MEK, SMO  
**LOCATIONS:** Vancouver (Canada), Edmonton (Canada), Saskatoon (Canada), Regina (Canada), London (Canada), Toronto (Canada), Kingston (Canada), Ottawa (Canada), Montreal (Canada)

**NCT03099174** **PHASE 1**  
This Study in Patients With Different Types of Cancer (Solid Tumours) Aims to Find a Safe Dose of Xentuzumab in Combination With Abemaciclib With or Without Hormonal Therapies. The Study Also Tests How Effective These Medicines Are in Patients With Lung and Breast Cancer.  
**TARGETS**  
CDK4, CDK6, IGF-1, IGF-2, Aromatase, ER  
**LOCATIONS:** Nevada, California, Minnesota, North Carolina, Connecticut, Florida, Tampere (Finland), Turku (Finland), Helsinki (Finland), Plerin Sur Mer (France)

**NCT02896335** **PHASE 2**  
Palbociclib In Progressive Brain Metastases  
**TARGETS**  
CDK4, CDK6  
**LOCATIONS:** Massachusetts

**NCT03965845** **PHASE 1/2**  
A Study of Telaglenastat (CB-839) in Combination With Palbociclib in Patients With Solid Tumors  
**TARGETS**  
CDK4, CDK6, GLS  
**LOCATIONS:** Texas, Georgia

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Oct. 29. 2020 8:38AM Cancer Care Northwest No. 4652 P. 13

CLINICAL TRIALS

ORDERED TEST # ORD-0927864-01

<b>NCT03446157</b>	<b>PHASE 2</b>
Palbociclib and Cetuximab in Metastatic Colorectal Cancer	<b>TARGETS</b> EGFR, CDK4, CDK6
<b>LOCATIONS:</b> North Carolina	
<b>NCT03310879</b>	<b>PHASE 2</b>
Study of the CDK4/6 Inhibitor Abemaciclib In Solid Tumors Harboring Genetic Alterations in Genes Encoding D-type Cyclins or Amplification of CDK4 or CDK6	<b>TARGETS</b> CDK4, CDK6
<b>LOCATIONS:</b> Massachusetts	
<b>NCT02897375</b>	<b>PHASE 1</b>
Palbociclib With Cisplatin or Carboplatin In Advanced Solid Tumors	<b>TARGETS</b> CDK4, CDK6
<b>LOCATIONS:</b> Georgia	
<b>NCT03454035</b>	<b>PHASE 1</b>
Ulixertinib/Palbociclib In Patients With Advanced Pancreatic and Other Solid Tumors	<b>TARGETS</b> MAPK3, MAPK1, CDK4, CDK6
<b>LOCATIONS:</b> North Carolina	
<b>NCT03065062</b>	<b>PHASE 1</b>
Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head & Neck and Other Solid Tumors	<b>TARGETS</b> PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6
<b>LOCATIONS:</b> Massachusetts	

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APPENDIX

Information Provided as a Professional Service

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**DDR2**  
I159T

**ERG**  
G151A

**KDR**  
T562N

**MST1R**  
G1385V

**POLE**  
D490N

**RAD51**  
T76M

**RET**  
L56M

**ZNF217**  
P368R

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**INTENDED USE**

FoundationOne®CDx (F1CDx) is a qualitative next generation sequencing based in vitro diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed, paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1, in accordance with the approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (F1CDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the Rubraca product label.

The F1CDx assay is performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

TABLE 1: COMPANION DIAGNOSTIC INDICATIONS

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	EGFR exon 19 deletions and EGFR exon 21 L858R alterations	Gilotrif® (Afatinib), Iressa® (Gefitinib), Tagrisso® (Osimertinib), or Tarceva® (Erlotinib)
	EGFR exon 20 T790M alterations	Tagrisso® (Osimertinib)
	ALK rearrangements	Alecensa® (Alectinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	BRAF V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
Melanoma	MET single nucleotide variants (SNVs) and indels that lead to MET exon 14 skipping	TpoBrexta™ (Capmatinib)
	BRAF V600E	Tafinlar® (Dabrafenib) or Zelboraf® (Vemurafenib)
Breast cancer	BRAF V600E and V600K	Mekinist® (Trametinib) or Cotelliv® (Cobimetinib) in combination with Zelboraf® (Vemurafenib)
	ERBB2 (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
Colorectal cancer	PIK3CA C420R, E542K, E545A, E545D (1635G>T only), E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbixub® (Cetuximab)
Ovarian cancer	KRAS wild-type (absence of mutations in exons 2, 3, and 4) and NRAS wild-type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
	BRCA1/2 alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)
Cholangiocarcinoma	FGFR2 fusions and select rearrangements	Pemazyre™ (Pemigatinib)
Prostate cancer	Homologous Recombination Repair (HRR) gene (BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D and RAD54L) alterations	Lynparza® (Olaparib)
Solid Tumors	TMB ≥ 10 mutations per megabase	Keytruda® (Pembrolizumab)

The median exon coverage for this sample is N/A

ORDERED TEST # ORD-0927864-01

**TEST PRINCIPLE**

FoundationOne<sup>®</sup>CDx (F1CDx) is performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The assay employs a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons (refer to Table 2 and Table 3 for complete list of genes included in F1CDx). In total, the assay detects alterations in a total of 324 genes. Using the Illumina<sup>®</sup> HiSeq 4000 platform, hybrid capture-selected libraries are sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data is then processed using a customized analysis pipeline designed to detect all classes of genomic alterations, including base substitutions, indels, copy number alterations (amplifications and homozygous gene deletions), and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and positive homologous recombination deficiency (HRD) status (BRCA-positive and/or LOH high) are reported.

**PERFORMANCE CHARACTERISTICS**

Please refer to product label:  
foundationmedicine.com/f1cdx

**LIMITATIONS**

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay.
4. Samples with <25% tumor may have decreased sensitivity for the detection of CNAs including *ERBB2*.
5. Clinical performance of Tagrisso<sup>®</sup> (osimertinib) in patients with an *EGFR* exon 20 T790M mutation detected with an allele fraction <5% is ongoing and has not been established.
6. Concordance with other validated methods for CNA (with the exception of *ERBB2*) and gene rearrangement (with the exception of *ALK*) detection has not been demonstrated and will be provided in the post-market setting. Confirmatory testing using a clinically validated assay should be performed for all

- CNAs and rearrangements not associated with CDx claims noted in Table 1 of the Intended Use, but used for clinical decision making.
7. The MSI-H/MSS designation by FMI FoundationOne<sup>®</sup>CDx (F1CDx) test is based on genome wide analysis of 95 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines. Refer [https://www.accessdata.fda.gov/cdrh\\_docs/pdf17/P170019B.pdf](https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf) for additional details on methodology. The threshold for MSI-H/MSS was determined by analytical concordance to comparator assays (IHC and PCR) using uterine, cecum and colorectal cancer FFPE tissue. Patients with microsatellite status of "Cannot Be Determined" should be retested with an orthogonal (alternative) method. The clinical validity of the qualitative MSI designation has not been established.
  8. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation [https://www.accessdata.fda.gov/cdrh\\_docs/pdf17/P170019B.pdf](https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf). The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
  9. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community.
  10. The test is intended to be performed on specific

- serial number-controlled instruments by Foundation Medicine, Inc.
11. Alterations in polyT homopolymer runs may not be reliably detected in BRCA1/2.
  12. Certain large rearrangements in BRCA1/2 including large scale genomic deletions (affecting at least one whole exon), insertions or other deleterious genomic rearrangements including inversions or transversion events, may not be detected in an estimated 5% of ovarian cancer patients with BRCA1/2 mutations by F1CDx.
  13. Certain potentially deleterious missense or small in-frame deletions in BRCA1/2 may not be reported under the "CDx associated findings" but may be reported in the "Other alterations and biomarkers identified" section in the patient report.
  14. Alterations at allele frequencies below the established limit of detection may not be detected consistently.
  15. Detection of LOH has been verified only for ovarian cancer patients.
  16. Performance of the LOH classification has not been established for samples below 35% tumor content and with LOH scores near the cutoff of 16.
  17. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.

MR Suite Version 1.0.0



APPENDIX

Genes assayed in FoundationOne® CDx

ORDERED TEST # ORD-0927864-01

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

**DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIPI	BTG1	BTG2
BTK	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERF1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDMSA	KOM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKB1A	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKARIA	PRKCI	PTCH1
PTEN	PTPNT1	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RADS1C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROST	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOCST1
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFB2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	UZAF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						

**DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TMPRSS2

\*TERC is an NCRNA

\*\*Promoter region of TERT is interrogated

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

Loss of Heterozygosity (LOH) score  
Microsatellite (MS) status  
Tumor Mutational Burden (TMB)

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**APPENDIX** Information Provided as a Professional Service

**QUALIFIED ALTERATION CALLS (EQUIVOCAL AND SUBCLONAL)**

An alteration denoted as "amplification -equivocal" implies that the FoundationOne<sup>®</sup>CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBBs and six (6) for all other genes. Conversely, an alteration denoted as "loss -equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

**PROFESSIONAL SERVICES FINDINGS**

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

**RANKING OF ALTERATIONS AND THERAPIES**

*Biomarker and Genomic Findings*

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

*Clinical Trials*

Pediatric trial qualification → Geographical proximity → Later trial phase.

**NATIONAL COMPREHENSIVE CANCER NETWORK<sup>®</sup> (NCCN<sup>®</sup>) CATEGORIZATION**

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium<sup>®</sup> (NCCN Compendium<sup>®</sup>) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given

therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories please refer to the NCCN Compendium<sup>®</sup>. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines<sup>®</sup>). © National Comprehensive Cancer Network, Inc. 2020. All rights reserved. To view the most recent and complete version of the guideline, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

**LEVEL OF EVIDENCE NOT PROVIDED**

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

**NO GUARANTEE OF CLINICAL BENEFIT**

Foundation Medicine makes no promises or guarantees that a particular drug will be effective in the treatment of disease of any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

**NO GUARANTEE OF REIMBURSEMENT**

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

**TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN**

Drugs referenced may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides with the physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A

treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report.

**LOSS OF HETEROZYGOSITY SCORE**

The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. The LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH.

**MICROSATELLITE STATUS**

For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be considered.

**TUMOR MUTATIONAL BURDEN**

Tumor Mutational Burden (TMB) is determined by measuring the number of somatic mutations in sequenced genes on the FoundationOne CDx test and extrapolating to the genome as a whole. TMB is assayed for all FoundationOne CDx samples and is reported in Professional Services as the number of mutations per megabase (Muts/Mb) rounded to the nearest integer. Tumor Mutational Burden is reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine Tumor Mutational Burden.

**VARIANT ALLELE FREQUENCY**

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

*Precision of VAF for base substitutions and indels*

BASE SUBSTITUTIONS		%CV <sup>1</sup>
Repeatability	5.11 - 10.40	
Reproducibility	5.95 - 12.31	
INDELS		%CV <sup>1</sup>
Repeatability	6.29 - 10.00	
Reproducibility	7.33 - 11.71	

<sup>1</sup>Interquartile Range = 1<sup>st</sup> Quartile to 3<sup>rd</sup> Quartile

The content provided as a professional service by Foundation Medicine, Inc., has not been reviewed or approved by the FDA.

Electronically signed by Tyler Janovitz, M.D., PhD | 28 October 2020  
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*Genomic Findings with Evidence of Clinical Significance*

Genomic findings listed at Level 2 are associated with clinical significance. Clinical significance may be indicated by evidence of therapeutic sensitivity or resistance and/or diagnostic, prognostic or other clinically relevant implications. Included in this category will be findings associated with clinical validity as supported by professional guidelines and/or peer-reviewed publications.

*Genomic Findings with Potential Clinical Significance*

Genomic findings listed at Level 3 are cancer-related mutations and biomarkers with potential clinical significance. These include findings in genes known to be associated with cancer and are supported by evidence from publicly available databases, and/or peer-reviewed publications.

*A Fluid Approach to Reporting Levels*

As additional information becomes available, as recognized by the clinical community (professional guidelines and/or peer-reviewed publications), findings may move between Levels 2 and 3 in accordance with the above descriptions.

**SELECT ABBREVIATIONS**

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

*The reports provided as a professional service by Foundation Medicine, Inc., has not been reviewed or approved by the FDA.*

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APPENDIX

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ORDERED TEST # ORD-0927864-01

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