

A SOVEREIGN NATION

Introduction to Emergency Support Functions (ESF) Annexes to Emergency Operations Plan

Purpose

This introduction provides an overview of the Emergency Support Function (ESF) structure, common elements of each of the ESFs, and the basic content contained within each of the ESF Annexes. Each ESF is provided with a purpose statement that reflects the primary reason the ESF group exists. The scope of each ESF is provided to reflect the range of activities in which the ESF group may find itself tasked. These are broad statements describing policies of the Tribe's emergency response organization with respect to the functions and responsibilities assigned to a particular ESF.

Background

The ESFs provide the structure for coordinating the Tribe's emergency operations with Federal, State, and local governments and non-governmental organizations. This structure is in line with the Federal Government's ESF structure and works in concert with the State of California's Standardized Emergency Management System (SEMS) so that in any incident that the Tribe may work in with these partners this structure will support those intergovernmental activities. This structure provides the framework for declared disasters and emergencies under the Stafford Act and for non-Stafford Act events. The following section includes a series of annexes describing the roles and responsibilities of departments as ESF Group Supervisors or as primary or support departments.

The ESF structure is in line with primary and secondary responsibilities of tribal departments and in many cases actions taken by a department in support of preparedness, response, recovery, and mitigation are not different from daily activities. The ESF structure provides mechanisms for inter-department coordination during all phases of an event. Some departments provide resources for response (e.g. Public Works), support (e.g. Recreation), and program implementation (e.g. Reservation Patrol) during the early stage of an event, while others are more prominent in the recovery phase (e.g. Planning).

National Incident Management System (NIMS)

These annexes are developed in concert with the principles of the National Incident Management System (NIMS). The Tribe adopted the NIMS in September of 2006 by Tribal Council Resolution. The NIMS is the first-ever nationwide standardized approach to incident management and response. The NIMS establishes a uniform set of processes and procedures that emergency responders at all levels of government will use to conduct response operations. The NIMS enables responders at all levels to work together more effectively and efficiently to manage domestic incidents no matter what the cause, size or complexity, including catastrophic acts of terrorism and disasters.

NIMS provides, and these ESF annexes support:

- Standardized organizational structures, processes and procedures;
- Standards for planning, training and exercising;
- Personnel qualification standards;

- Equipment acquisition and certification standards;
- Interoperable communications processes, procedures and systems;
- Information management systems with a commonly accepted architecture;
- Supporting technologies voice and data communications systems, information systems, data display systems, specialized technologies; and
- Publication management processes and activities.

Federal ESFs

Interoperability of all aspects of the national emergency management system is a priority and understanding how other government's emergency management activities work. The federal government has established 15 emergency support functions that support the National Frameworks (prevention, protection, mitigation, and response) required in Presidential Policy Directive 8 (PPD-8) of March 30, 2011. The federal ESFs are:

Federal Number and Title	Functions/Scope	
ESF #1 – Transportation	Aviation/airspace management and control	
	Transportation safety	
	Restoration/recovery of transportation infrastructure	
	Movement restrictions	
	Damage and impact assessment	
ESF #2 - Communications	Coordination with telecommunications and information	
	technology industries	
	Restoration and repair of telecommunications	
	infrastructure	
	Protection, restoration, and sustainment of national	
	cyber and information technology resources	
	Oversight of communication	
ESF #3 – Public Works and	Infrastructure protection and emergency repair	
Engineering	Infrastructure restoration	
	Engineering services and construction management	
	Emergency contracting support for life-saving and life-	
	sustaining services	
ESF #4 – Firefighting	Coordination of Federal firefighting activities	
	Support to wildland, rural, and urban firefighting	
	operations	
ESF #5 - Emergency	Coordination of incident management and response	
Management	efforts	
	Issuance of mission assignments	
	Resource and human capital	
	Incident action planning	
	Financial management	

Federal Number and Title	Functions/Scope	
ESF #6 - Mass Care,	Mass care	
Emergency Assistance,	Emergency assistance	
Housing, and Human	Disaster housing	
Services	Human services	
ESF #7 - Logistics	Comprehensive, national incident logistics planning,	
Management and Resource	management, and sustainment capability	
Support	Resource support (facility space, office equipment and	
	supplies, contracting services, etc.)	
ESF #8 – Public Health and	Public health	
Medical Services	Medical	
	Mental health services	
	Mass fatality management	
ESF #9 - Search and Rescue	Life-saving assistance	
	Search and rescue operations	
ESF #10 - Oil and Hazardous	Oil and hazardous materials (chemical, biological,	
Materials Response	radiological, etc.) response	
	Environmental short- and long-term cleanup	
ESF #11 – Agriculture and	Nutrition assistance	
Natural Resources	Animal and plant disease and pest response	
	Food safety and security	
	Natural and cultural resources and historic properties	
	protection and restoration	
	Safety and well-being of household pets	
ESF #12 - Energy	Energy infrastructure assessment, repair, and	
	restoration	
	Energy industry utilities coordination	
	Energy forecast	
ESF #13 – Public Safety and	Facility and resource security	
Security	Security planning and technical resource assistance	
	Public safety and security support	
	Support to access, traffic, and crowd control	
ESF #14 – Long-Term	Social and economic community impact assessment	
Community Recovery	Long-term community recovery assistance to States,	
	local governments, and the private sector	
	Analysis and review of mitigation program	
	implementation	
ESF #15 – External Affairs	Emergency public information and protective action	
	guidance	
	Media and community relations	
	Congressional and international affairs	
	Tribal and insular affairs	

State of California ESFs

California ESFs are similar to the Federal Government's and are shown below:

State Title	Functions/Scope			
Transportation	Assists in the management of transportation systems and			
	infrastructure during domestic threats or in response to			
	incidents.			
Communications	Provides resources, support and restoration of government			
	emergency telecommunications, including voice and data.			
	Lead will transfer to the Office of the Chief Information			
	Officer on May 1, 2009, upon implementation of the			
	Governor's Reorganization Plan.			
Construction and	Organizes the capabilities and resources of the state			
Engineering	government to facilitate the delivery of services, technical			
	assistance, engineering expertise, construction management			
	and other support to local jurisdictions.			
Fire and Rescue	Monitors the status of fire mutual aid activities. Coordinates			
	support activities related to the detection and suppression			
	of urban, rural and wildland fires and emergency incident			
	scene rescue activities and provides personnel, equipment			
	and supplies to support local jurisdictions.			
Management	Coordinates and resolves issues among the CA-EFs in the			
	four phases of emergency management to ensure			
	consistency in the development and maintenance of the SEP			
	annexes. During emergencies, serves in an advisory capacity			
	to the EOC Director.			
Care and Shelter	Coordinates actions to assist responsible jurisdictions to			
	meet the needs of victims displaced during an incident			
	including food assistance, clothing, non-medical care and			
	sheltering, family reunification and victim recovery.			
Resources	Coordinates plans and activities to locate, procure and pre-			
	position resources to support emergency operations.			
Public Health and Medical	Coordinates Public Health and Medical activities and			
	services statewide in support of local jurisdiction resource			
	needs for preparedness, response, and recovery from			
	emergencies and disasters.			

State Title	Functions/Scope
Search and Rescue	Supports and coordinates response of personnel and
	equipment to search for and rescue missing or trapped
	persons. CalEMA Law Enforcement supports and
	coordinates responses to search for, locate and rescue
	missing or lost persons, missing and downed aircraft, high
	angle rock rope rescue and investigations of missing person
	incidents that may involve criminal acts and water rescues.
	CalEMA Fire and Rescue supports and coordinates
	responses to search for, locate and rescue victims of
	structure collapse, construction cave-ins, trench, confined
	space, high angle structure rope rescue and similar
	emergencies and disasters and water rescues.
Hazardous Materials	Coordinates state resources and supports the responsible
	jurisdictions to prepare for, prevent, minimize, assess,
	mitigate, respond to and recover from a threat to the public
	or environment by actual or potential hazardous materials
	releases.
Food and Agriculture	Supports the responsible jurisdictions and coordinates
	activities during emergencies impacting the agriculture and
	food industry and supports the recovery of impacted
	industries and resources after incidents.
Utilities	Provides resources and support to responsible jurisdictions
	and in partnership with private sector to restore gas,
	electric, water, wastewater and telecommunications.
Law Enforcement	Coordinates state law enforcement personnel and
	equipment to support responsible law enforcement
	agencies, coroner activities and public safety in accordance
	with Law Enforcement and Coroner's Mutual Aid Plans.
Long-term Recovery	Supports and enables economic recovery of communities
	and California from the long-term consequences of
	extraordinary emergencies and disasters.
Public Information	Supports the accurate, coordinated, timely and accessible
	information to affected audiences, including governments,
	media, the private sector and the local populace, including
	the special needs population.
Evacuation	Supports responsible jurisdictions in the safe evacuation of
	persons, domestic animals and livestock from hazardous
	areas.

State Title	Functions/Scope		
Volunteer and Donations	Supports responsible jurisdictions in ensuring the most		
Management	efficient and effective use of affiliated and unaffiliated		
	volunteers and organizations and monetary and in-kind		
	donated resources to support incidents requiring a state		
	response.		

Morongo ESFs

The Tribe's ESFs are similar to both the Federal and State ESFs. They are shown in the table below with the supporting department(s) identified:

		Supporting
Morongo ESF Title	Morongo ESF Functions/Scope	Department(s)
ESF - 1,	Management of transit assets and	Transportation and
Transportation	infrastructure during response and recovery	Fleet
	to incidents. The Transportation Section of	Maintenance
	the Public Works Department assists the	Sections of
	Morongo School, Preschool, Learning Center,	Public Works
	Recreation Center, and Banning and	Department
	Beaumont Unified School Districts with	Planning
	student reunification efforts. The Fleet	Department
	Maintenance Section provides vehicle	
	service to both Tribal and Mutual Aid	
	Resources. The Planning Department's focus	
	is on transportation facility restoration and	
	evacuation coordination.	
ESF - 2,	Provides resources, support and restoration	Information
Communications	of tribal emergency data networks,	Technology
	telecommunications, and support to	Department
	Amateur Radio Emergency Services. The ESF	
	provides for the emergency notification	
	system and reservation disaster radio	
	network operations.	
ESF - 3, Public Works	The public works department provides	Public Works
	emergency repair and protective measures	Department
	in preparedness, response, and recovery	
	phases.	

Morongo ESF Title	Morongo ESF Functions/Scope	Supporting Department(s)
ESF – 4, Fire and Rescue	Monitors the status of fire mutual aid activities. Coordinates support activities related to the detection and suppression of urban, rural and wildland fires and emergency incident scene rescue activities and provides personnel, equipment and supplies to support local jurisdictions.	Fire Department
ESF – 5, Emergency Management	Manages and provides direct support to all ESFs in the four phases of emergency management. Provides for NIMS and NFPA 1600 compliance. During emergencies where the EOC is activated manages disaster response and recovery efforts and serves in an advisory capacity to the Tribal Council and Tribe.	Emergency Management Department
ESF – 6, Care and Shelter	Meets the basic needs of survivors displaced during an incident including food assistance, clothing, non-medical care and sheltering, family reunification and recovery.	Recreation Department Elders Program Social Services Department
ESF – 7, Emergency Logistics and Resources	Coordinates plans and activities to locate and procure disaster resources to support emergency operations, disaster response, and recovery. Preparedness logistical resource considerations are a function of planning for each ESF.	Facilities Section of Construction Services Department Administration Section of the Public Works Department
ESF - 8, Public Health, Medical, and Disaster Mental Health	Coordinates Public Health and Medical activities and services in supporting the Tribe's emergency organization in preparedness, response, and recovery from emergencies and disasters. In disaster response and recovery provides for disaster mental health and activities to support returning to normalcy.	Indian Health Clinic of Riverside-San Bernardino County Indian Health, Inc. Social Services

Morongo ESF Title	Morongo ESF Functions/Scope	Supporting Department(s)
ESF - 9, Search and Rescue	The Fire Department supports and coordinates response of personnel and equipment to search for and rescue missing or trapped persons. Fire supports and coordinates responses to search for, locate and rescue victims of structure collapse, construction cave-ins, trench, confined space, high angle structure rope rescue and similar emergencies and disasters and water rescues. Reservation Patrol supports and coordinates responses to search for, locate and rescue missing or lost persons, missing and downed aircraft, high angle rock rope rescue and investigations of missing person incidents that may involve criminal acts and water rescues.	Fire Department Reservation Patrol Department
ESF – 10, Hazardous Materials	The Environmental Department coordinates resources and supports the activities to minimize threats to the Tribe, Reservation or environment by actual or potential hazardous materials releases. During incident the Fire Department manages and coordinates first response activities to hazardous materials incidents.	Environmental Department Fire Department
ESF – 11, Food, Agriculture, Vector Control, and Animal Control	The Environmental Department coordinates activities related to agriculture and vector control. The department assists the Tribe in dealing with vector emergencies and coordinates recovery activities. During emergencies the Reservation Patrol Department coordinates and manages animal control activities.	Environmental Department Reservation Patrol

Morongo ESF Title	Morongo ESF Functions/Scope	Supporting Department(s)
ESF – 12, Utilities and	During emergencies the Water Department	Water Department
Water	manages and coordinates the delivery of	Realty Department
	potable water and restoration of water and	Cable Section of
	wastewater facilities. The department	the IT
	coordinates with the Water Agency	Department
	Resources Network (WARN) for mutual aid	
	and through Emergency Management ESF	
	(ESF – 5) for Emergency Management	
	Mutual Aid (EMMA) and other requests. The	
	Realty Department coordinates utility	
	restoration with service providers. The Cable	
	Section of the Information Technology	
	Department coordinates and manages the	
	restoration of cable facilities. The Cable	
	Section Coordinates with the	
	Communications ESF (ESF – 2) for the	
	promulgation of emergency information	
	during and cable facility status.	
ESF - 13, Law	The Reservation Patrol Department provides	Reservation Patrol
Enforcement	law enforcement and public security for the	Department
	Reservation and tribal enterprises. The	
	department coordinates with the MCRS	
	Security, Riverside County Sheriff, Union	
	Pacific Police, California Highway Patrol,	
	federal law agencies and works within the	
	Law Enforcement and Coroner's Mutual Aid	
	Plans. The Patrol Department provides	
	security of the tribe's critical infrastructure	
	and key resources. The Department	
	coordinates with other ESFs to identify	
	security needs and coordinates for	
	additional security services through on-the-	
	spot hiring/training or contracting private	
	security firms.	

Morongo ESF Title	Morongo ESF Functions/Scope	Supporting Department(s)
ESF - 14, Long-term	Supports economic recovery of the Tribe	Planning
Recovery	from the long-term consequences of	Department
,	disasters. The Planning Department focuses	Construction
	on long-term restoration and mitigation of	Services
	natural and human-made hazards and risks.	Department
	The Construction Services Department's	Emergency
	primary focus in this ESF is on building and	Management
	construction management and the	Department
	Emergency Management Department is	
	responsible for fiscal management and	
	grants-in-aid of both Stafford and non-	
	Stafford Act Disasters.	
ESF – 15, External	Provides coordination and management of	Fire Department
Affairs and Public	information in support of the dealing with	Reservation Patrol
Information	the media during incidents. Each of the	Department
	support departments provides subject	Emergency
	matter expertise to the Tribe and Tribal	Management
	Council. The ESF coordinates with various	Department
	federal agency and state tribal liaisons.	_
ESF - 16, Evacuation ¹	Coordinates and manages the safe	Fire Department
	evacuation of persons, domestic animals,	Reservation Patrol
	and livestock from hazardous areas. For	Department
	livestock matters, coordinates with the	Emergency
	Cattleman's Association. Maintains	Management
	coordination with surrounding agencies and	Department
	governments to understand potential	
	impacts of evacuations of other areas (e.g.	
TCT 47 \/-l 4	greater Los Angeles evacuation).	Chief
ESF - 17, Volunteer	Manages and coordinates volunteer	Chief
and Donations	resources. Provides adequate on-the-spot	Administrator's Office
Management ²	training for convergent volunteers and with	Chief Executive's
	non-governmental agencies that may be supporting the Tribe's disaster response and	Office
	recovery efforts. The ESF may be activated	Tribal Elders
	during disasters that are affecting other	Department
	areas of the state (e.g. other Reservations)	Department
	where the Tribe may provide a support role.	
	where the tribe may provide a support fole.	

¹ Evacuation is not a Federal ESF.

² Volunteer and Donations Management is not a Federal ESF.

ESF Notification and Activation

An Incident Commander may request activation of any ESF to support an incident or emergency. For instance the Fire IC may request activation of the Care and Shelter ESF to support evacuated personnel. Additionally if the EOC is activated at any level the EOC Manager, or Director of Emergency Management if an EOC Manager is not assigned, develops and issues operations orders to activate individual ESFs based on the scope and magnitude of the event and in consultation with any Incident Commanders that the EOC is supporting.

ESF primary departments are notified of the operations orders and time to report to the Emergency Operations Center (EOC). ESF primary departments notify and activate support departments as required for the event.

ESF Roles and Responsibilities

Within each ESF Annex there is an identified ESF Coordinator (e.g. Transportation Manager for Transportation ESF) as well as primary and support departments (e.g. Fleet Maintenance to Transportation ESF). Some ESFs incorporate functions and responsibilities from several departments to ensure integration of and transition between mission areas of preparedness, prevention, response, recovery, and mitigation. ESFs with multiple primary departments designate an ESF Coordinator for the purposes of pre-incident planning and coordination. Additionally the primary and secondary departments supporting and ESF will have designated personnel on the Disaster Planning Workgroup or other ad-hoc planning groups as may be established by the Tribe. Following is a discussion of the roles of the key support functions and departments.

Emergency Management Director

The Emergency Management Director has overall responsibility for ensuring the Tribe has appropriate ESFs in place and to coordinate and conduct planning, exercise, training, and all other Office of Emergency Management functions. The intent of this plan and the actions that the department will take are to provide as much of turn-key system to administrators and department heads in meeting the Tribe's emergency organization goals.

ESF Coordinator

A primary department responsible for each ESF will be assigned and that department shall have at least one person assigned as an ESF Coordinator. An ESF Coordinator is normally a manager, department head, or administrator (or their appointee) who has the functional goals and responsibility for operations of the department closest matching the ESF. For instance the ESF Coordinator for Communications may be the IT Director or one of his or her employees assigned. The ESF group supervisor has ongoing responsibilities throughout the mission areas of prevention, preparedness, response, recovery, and mitigation phases of incident management. The role of the ESF Supervisor may be filled through a "unified

command" approach if agreed upon by the primary departments. Responsibilities of the ESF Supervisor include:

- **1.** Pre-incident (e.g. earthquake preparedness) and special event (e.g. cultural days) planning and coordination for specific function or objective;
- 2. Maintaining ongoing contact with ESF primary and support departments;
- **3.** Maintaining situation, status, and resource reports for planning and briefing needs for a specific function or objective; and
- 4. Conducting periodic ESF meetings and conference calls;
- 5. Maintaining situation, status, and resource reports for planning and briefing needs;
- **6.** Coordinating efforts with corresponding private sector organizations; and
- **7.** Coordinating ESF activities as appropriate relating to catastrophic incident planning and critical infrastructure preparedness.

Primary Departments

Each ESF function (or mission) has a designated primary department or supporting entity (e.g. Indian Health Clinic) that there is a liaison effort established with. This department is responsible for managing the development and maintenance of capabilities relative to the specific function described. The ESF Coordinator is part of the primary department and is responsible for direction and control functions when the ESF is activated for incident or event support.

When an ESF is activated in response to an incident or event, the primary department is responsible for:

- 1. Providing support within the functional area at incident command (IC) or the Emergency Operations Center (EOC) (e.g. Command, Operations, Planning, Logistics, Finance and Administration) where ESF support is required;
- 2. Providing staff for the operation functions;
- 3. Activating and sub-tasking support departments;
- 4. Working with appropriate private sector organizations to maximize use of all available resources;
- 5. Supporting and keeping other ESFs and organizational elements informed of
- 6. ESF operational priorities and activities;
- 7. Executing contracts and procuring goods and services as needed;
- 8. Ensuring financial and property accountability for ESF activities;
- 9. Coordinating the development of an ESF SOP that governs the functions of the various organizations assigned to that ESF during activation;
- 10. Conducting planning sessions during non-emergency periods for the purpose of developing policies, plans, and procedures for coordinating the state level response to a disaster (with respect to that particular ESF);
- 11. During emergency activation, coordinating the flow of messages into and out of the ESF group, providing direction and control for the ESF group, and coordinating the activities of that ESF with the activities of other ESF groups; and

12. Compiling documentation relative to the ESF group's activities during the emergency.

<u>Support Departments</u>

Some ESFs have one or more supporting units or departments that are tasked with providing resource and/or logistical support to the operation of the ESF when activated. Each department functions as per its normal routine when the ESF group is not activated.

When an ESF is activated in response to an incident or disaster, support departments are responsible for:

- 1. When requested by the Director of Emergency Management or the Incident Commander, conducting operations using their own authorities, subject matter experts, capabilities, or resources;
- 2. Participating in planning for short-term and long-term incident management operations and the development of supporting operational plans, standard operating procedures, checklists, or other job aids;
- 3. Assisting in conducting situational assessments;
- 4. Furnishing available personnel, equipment, or other resource support as requested by Director of Emergency Management or the ESF primary department;
- 5. Providing input to periodic readiness assessments;
- 6. Preparing situation and status reports for general and legislative briefings;
- 7. Participating in training and exercises aimed at continuous improvement of mission areas (prevention, preparedness, response, recovery, mitigation); and
- 8. Identifying new equipment or capabilities required to prevent or respond to new or emerging threats and hazards, or to improve the ability to address existing threats.

EOC Operations

During smaller events or incidents (e.g. water main break), each tribal department performs its specialized tasks according to the department's in-house Standard Operating Procedures (SOPs). During major emergencies, however, there is an increased need for the coordination of all activities relevant to the emergency response as they relate to the entire event and this operation normally takes place in the EOC. Conflicts that arise during emergency operations will be resolved by the Emergency Management Director. All ESFs may not be assigned a position relative to EOC functional positions and each EOC functional position may not have an ESF (e.g. the Compensation and Claims Unit is not necessarily an ESF). Each ESF is generally assigned as follows:

Morongo ESF Title	EOC Section	Position/Branch/Unit
ESF - 1, Transportation	Operations	Transportation Branch
ESF - 2, Communications	Operations	Communications Unit
ESF - 3, Public Works	Operations	Infrastructure Branch
ESF - 4, Fire and Rescue	Operations	Fire and Rescue Branch
ESF – 5, Emergency Management	Management	Management Staff

Morongo ESF Title	EOC Section	Position/Branch/Unit
ESF - 6, Care and Shelter	Operations	Human Services Branch
ESF – 7, Emergency Logistics and	Logistics	Resources Management Unit
Resources		Facilities Unit
		Human Resources Unit
		Donations and Volunteer
		Management Unit
ESF - 8, Public Health, Medical, and	Operations	Human Services Branch
Disaster Mental Health		
ESF - 9, Search and Rescue	Operations	Fire and Rescue Branch
ESF – 10, Hazardous Materials	Operations	Fire and Rescue Branch
ESF - 11, Food, Agriculture, Vector Control,	Operations	Human Services Branch
and Animal Control		
ESF - 12, Utilities and Water	Operations	Infrastructure Branch
ESF - 13, Law Enforcement	Operations	Law Enforcement Branch
ESF - 14, Long-term Recovery	Planning	Advanced Planning Unit
ESF - 15, External Affairs and Public	Management	Management Staff
Information		
ESF - 16, Evacuation ³	Operations	Law Enforcement Branch
		Fire and Rescue Ranch
ESF - 17, Volunteer and Donations	Operations	Human Services Branch
Management ⁴		

Each ESF will have a functional annex to the EOP that will delineate further the responsibilities and detail the concept of operations.

³ Evacuation is not a Federal ESF.

⁴ Volunteer and Donations Management is not a Federal ESF.